

RSPO SUPPLY CHAIN CERTIFICATIONS

REPORT

RE-ASSESSMENT 2

COLOMBIA

C.I. TEQUENDAMA S.A.S. – GRUPO DAABON

2022

Independent Palm Oil Mill(s) included in the scope ☒ N/A, i.e. NO mills included in the scope

Name Palm Oil Mill	Mill Capacity (CSPO)	Location	GPS Reference	
(POM)	MT/hr	Address	Longitude	Latitude

Audit date:	April 26th to 29th, 2022	
Report prepared by:	Oscar Javier Lugo Ramos	(Lead Assessor)
Certification decision by:	Luis Alberto Siles Tapia	(Certifier)

Certifying Office

Control Union Certifications

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Control Union

Control Union is an international inspection and certification body. CU performs assessments and certification in many agricultural based fields such as FSC, RSPO, and Organic production, Sustainable Textile Production, Organic Exchange, GLOBALGAP, HACCP, BRC, GMP and GTP.

Control Union Certifications B.V. is accredited for RSPO (ASI-ACC-017, accreditation date 6-6-2019) for the scope of SCCS worldwide. When requested, a copy of the accreditation certificate can be obtained from CUC.

RSPO Membership Number	8-0184-16-000-00
RSPO Approval Date	02 August 2016
Affiliate Membership	https://www.rspo.org/members/5475

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PART 1: CERTIFIED COMPANY DETAILS

CU Code	Operational Units	Supply Chain Model
REF1, REF2, etc.	Refineries	IP (Identity Preserved) SG (Segregated) MB (Mass Balance)
PRU1, PRU2, etc.	Processing units	
FPU1, FPU2, etc.	Final production units	
OTU1, OTU2, etc.	Outsourcing units	
TRD1, TRD2, etc.	Trading units	
ICS	Internal Control System	
IO	Invoicing office	
IM	Independent Mills	
FSC	Food Service Companies	
KCP	Kernel Crusher Plant	
ST	Storage	

1.1 Company Contact Details	
Changes since previous audit: <input type="checkbox"/>	
Company Name:	C.I. Tequendama S.A.S - Daabon
Principle Contact Person:	Jonathan Lindo Castellanos
Business Address:	Carrera 1 # 22-58 Piso 11, Edificio Bahía. Santa Marta, Magdalena, Colombia
Office Contact Number:	(+57) 314 595 9943
Fax/ Contact Number:	(+57) 605 432 8120
e-mail:	jlindo@daabon.com.co
Website:	http://www.daabon.com.co
RSPO Membership Number	1-0132-12-000-00
Member Since	August 9 th , 2004
PalmTrace License Number(s)	*Daabon - Refineria Tequendama (REF-01) Member ID: RSPO_PO1000000124 Type of business: Refinery *Caribbean EcoSoaps - DAABON (REF-02) Member ID RSPO_PO1000000165 Type of business: Refinery *DAABON Group (KCP-01) Member ID RSPO_PO1000005976 Type of business: Crusher *Global Organic, INC. (TRD-01) Member ID: RSPO_PO1000000140 Type of business: Trader *C.I. La Samaria S.A.S. (TRD-02) Member ID: RSPO_PO1000012384 Type of business: Trader *Derivados y Fracciones de la Palma S.A.S. (TRD-03) Member ID: RSPO_PO1000012385 Type of business: Trader

1.2 Facilities included in the Scope of the Audit				
Changes since previous audit: <input type="checkbox"/>				
Site included in the scope of the audit				
CU Code	Name of site	Location address	Supply Chain Model	Visited
ICS	C.I. Tequendama S.A.S - Daabon	Carrera 1 # 22-58 Piso Once, Edificio Bahía Centro. Santa Marta, Magdalena, 470004, Colombia	IP/SG/MB	<input checked="" type="checkbox"/>
KCP-01	DAABON Group	Km 2 Troncal del Caribe, Urb. San Francisco, detrás del Colegio Bilingüe. Santa Marta, Magdalena, 470006, Colombia	IP/SG/MB	<input type="checkbox"/>

REF-01	Daabon - Refineria Tequendama	Km 2 Troncal del Caribe, Urb. San Francisco, detrás del Colegio Bilingüe. Santa Marta, Magdalena, 470006, Colombia	IP/SG/MB	<input type="checkbox"/>
REF-02	Caribbean EcoSoaps - DAABON	Carrera 1 # 22-58 Piso Once, Edificio Bahía Centro. Santa Marta, Magdalena, 470004, Colombia	IP/SG/MB	<input checked="" type="checkbox"/>
STO-01	Terminal de Graneles Líquidos del Caribe	Carrera 1 Antigua vía al Boquerón - Margen Derecha del Puerto. Santa Marta, Magdalena, 470006, Colombia	IP/SG/MB	<input checked="" type="checkbox"/>
TRD-01	Global Organic, Inc.	Carrera 1 # 22-58 Piso Once, Edificio Bahía Centro. Santa Marta, Magdalena, 470004, Colombia	IP/SG/MB	<input checked="" type="checkbox"/>
TRD-02	C.I. La Samaria S.A.S.	Carrera 1 No. 22-58 Piso Once, Edificio Bahía Centro. Santa Marta, Magdalena, 470004, Colombia	IP/SG/MB	<input type="checkbox"/>
TRD-03	Derivados y Fracciones de la Palma S.A.S.	Carrera 1 No. 22-58 Piso Once, Edificio Bahía Centro. Santa Marta, Magdalena, 470004, Colombia	IP/SG/MB	<input type="checkbox"/>

1.3 Invoicing office

A single site certificate cannot have more than one site listed on the certificate unless the additional site is an invoicing site.

☒ N/A, no invoicing office

CU Code	Name of site	Location address	Visited
IO			<input type="checkbox"/>

1.4 Outsourced companies included in the scope of the audit. (See also Annex 1)

☒ N/A, no outsourcing

1.5 Contact Details of Respective Facilities

List out the contact details of each management representative responsible for overseeing the certification process for each facility if different to that shown in table 1 above

CU Code	Email Address
N/A	

1.6 Audit Overview

List out the planning of the audit cycle

Audit Type	Year	Executed Audit date
RC1	2017	24 to 25 January

ASA1.1	2018	26 to 27 February
ASA1.2	2019	04 to 05 March
ASA1.3	2020	01 July
ASA1.4	2021	11 to 13 March
RC2	2022	26 to 29 April

PART 2: SUMMARY OF THE REPORT AND SCOPE OF THE AUDIT

2.1 RSPO SCC Summary Report

Summary report of the audit including a brief description of the scope of certification

The Daabon Group is a family business with eight (8) facilities: they receive the raw material (CPO and PK) mainly from their own extraction plants "C.I. Tequendama S.A.S - Daabon - Extractora Tequendama" RSPO_PO1000000123 and "Palma y Trabajo S.A.S." RSPO_PO1000006312. "Daabon Group" (Crusher), receives PK for the palm kernel oil extraction process (PKO). "Daabon - Tequendama Refinery" receives CPO and PKO to carry out refining, fractionation and product marketing processes. "Caribbean EcoSoaps" is a plant that receives products from "Daabon - Tequendama Refinery" for the production and marketing of soap and glycerin. Most of the bulk products resulting from each process are transferred to the "Terminal de Graneles Líquidos del Caribe"; where they are stored.

Global Organic Inc., C.I. La Samaria S.A.S. and Derivados y Fracciones de la Palma S.A.S. Traders-type units buy products mainly from "Daabon - Tequendama Refinery" and "Caribbean Eco-soaps" and then sell to different international markets.

-KCP-01 Daabon Group - Tequendama: Internal processing crusher (PK), extraction rate 42.63% CSPKO, no downgrading and no fractionation.

-REF-01: Tequendama refinery: conversion rate 95.5% CSPO - 97% CSPKO Refining (CSPO & CSPKO), 1st fractionation CPO and PKO (75/25 CSPO - 65/35 CSPKO); downgraded 1:1 IP to SG and 1:1 IP to MB.

-REF-02 Caribbean EcoSoaps): 95.5% conversion rate CSPO Refining (CSPO), 1st fractionation CPO (75/25); 1:1 degradation IP to SG.

-TRD-01 Global Organic Inc: Trading in CSPO, CSPKO, Olein, Stearin, Refined Palm Oil, Refined CSPKO, Refined PK Stearin, Refined PK Olein. No refining or conversion duties apply.

-TDR-02 C.I. La Samaria S.A.S: Trading in CSPO, CSPKO, Olein, Stearin, Refined Palm Oil, Refined CSPKO, Refined PK Stearin, Refined PK Olein. No refining or conversion duties apply.

-TRD-03 Derivados y Fracciones de la Palma S.A.S.: Trading in CSPO, CSPKO, Olein, Stearin, Refined Palm Oil, Refined CSPKO, Refined PK Stearin, Refined PK Olein. No refining or conversion duties apply.

STO-01 storage of CSPO, CSPKO, Olein, refined palm oil.

These facilities receive CPO and PK from suppliers such as C.I. Tequendama S.A.S - Daabon:

- Extractora Tequendama POM License RSPO_PO1000000123.

Palma y Trabajo S.A.S POM license RSPO_PO1000006312, which are also owned by Daabon Group and are currently certified under the RSPO IP supply chain model.

We also receive from these suppliers certified under the RSPO IP supply chain model:

-Palmeras de la Costa POM License RSPO_PO1000003221,

-Sicarare S.A. POM License RSPO_PO1000004026.

The models used in the facilities are IP, SG and MB to produce CPO RBD and PKO through refining to the RSPO certified fractions (olein and stearin) under the models (IP, SG, MB). If necessary, the project performs the degradation of the IP product to the SG and MB model to sell under these models when its customers require it.

For this audit, the sale of certified PKE in the amount of 2,306 MT is mentioned.

TDR-02 and TDR-03 No purchases or sales have been made during the audit period.

The facility manages all production documents in the SAP system. The traceability system can be traced along the entire chain.

For this evaluation (RC2), the following sites were audited: ICS: C.I. Tequendama S.A.S – Daabon; REF-02: Caribbean EcoSoaps; STO-01: Terminal de Graneles Líquidos del Caribe and TRD-01: Global Organic Inc.

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2.2 Description of the Audit Scope	
Description of the scope of the audit including the supply chain model(s) used	
CU Code	Scope and Supply Chain Model
KCP-01	Receipt of RSPO certified PK for the production and sale of certified PKO and PKE, according to the supply chain model IP, SG and MB.
REF-01, STO -01	From the purchase and reception of RSPO certified CPO and PKO to the processing, storage (STO-01, storage of bulk liquid products), and trading of RBD PO, RBD PKO and its fractions, Olein and Stearin, according to the supply chain model IP, SG and MB.
REF-02	Production of soaps from the purchase and reception of RSPO certified CPO and PKO to the processing, storage, and trading of RBD PO, RBD PKO and its fractions, Olein and Stearin, according to the supply chain model IP, SG and MB.
TRD-01, TRD-02, TRD-03	From the purchase of RSPO certified CPO and PKO to the processing, under a refinery's toll manufacturing service of RBD PO, RBD PKO and its fractions, Olein and Stearin, according to the supply chain model IP, SG and MB. The units will also sell certified CPO and PKO.

2.3 Multi Sites/Group Certification/Group Certification for Food Service Companies
Justification and explanation of the sampling formulae used, applicable to Multi Sites / Group Certification Only
<p>RC: Square root of the total number of participating sites, multiplied by a coefficient of 0.8 rounded up to the next whole number, plus Central Office.</p> <p>The formula is applied: $(\sqrt{7}) \times 0.8 = 2.12$ rounded up to the next whole number = 3.</p> <p>The units selected and inspected were:</p> <ul style="list-style-type: none"> *ICS: C.I. Tequendama S.A.S – Daabon. *REF-02: Caribbean EcoSoaps. *STO-01: Terminal de Graneles Líquidos del Caribe *TRD-01: Global Organic Inc.

2.4 Description of the Organizational Management Systems
Including organizations, management and operational systems to ensure compliance with the RSPO Supply Chain Certification Systems and Standards as detailed above. Please also list other certificates held by the company

The Daabon Group is a family business with eight (8) facilities: C.I. Tequendama S.A.S – Daabon, Daabon Group – Tequendama, Refinería Tequendama, Caribbean EcoSoaps, Terminal de Graneles Líquidos del Caribe, Global Organic Inc., C.I. La Samaria S.A.S. and Derivados y Fracciones de la Palma S.A.S. The units are in Santa Marta, department of Magdalena in Colombia.

Daabon Group is a pioneer and leader in the production of organic ingredients in South America. Its headquarters is located in Santa Marta, Colombia. Founded in 1914, it is present in 4 continents.

The company has implemented a centralized documentary system for the supply, segregation and traceability under the control of the ICS, with people responsible for the maintenance of the system.

The company uses information systems such as Siagri and SAP system.

It has product certifications; this has allowed it to develop a system of improvement, which in turn has led to the confidence of its customers and partners, have certifications in the organic program USDA-NOP, JAS, EU, Standard Kosher, Rainforest Alliance Certified, BASC Standard, Fairtrade USA and ISO 9001:2008 Standard, HCCP, SQF.

PART 3: RSPO CERTIFIED PRODUCTS

3.1 RSPO Certified Volumes

☐ N/A, i.e. no refinery, manufacturer, etc. under scope certificate

Company's confirmed annual aggregated volumes record based on the estimate volume of palm oil / palm kernel oil content (in separate categories) in the RSPO certified oil palm product and an up to date record of the aggregated volume purchased (input) and claimed (output) over a period of twelve(12) months: from month/year to month/year

For Main Assessment this is not applicable.

For organizations using the RSPO IT Platform, the trading records has to be verified.

Purchased		
CU Code	Supply Chain Model + PO/PKO/Derivatives/Oleochemicals	Volume MT
REF-01	IP+PO	29,714
REF-01	IP+PKO	3,094
REF-01	MB+PKO	549
REF-02	IP+PO	3,488.6
REF-02	IP+PKO RBD	963.4
TRD-01	IP+PO	14,349
TRD-01	IP+ PO Stearin	860
TRD-01	IP+ PO Olein	1,650
TRD-01	IP+ PO RBD	11,051
	TOTAL	27,910
TRD-01	IP+PKO	851
TRD-01	MB+ Stearin PKO	21.5

Claimed (Sold as RSPO)		
CU Code	Supply Chain Model + PO/PKO/Derivatives/Oleochemicals	Volume MT
REF-01	IP+PO	4,934
REF-01	IP+PO RBD	14,035
REF-01	IP+Stearin PO	1,554
REF-01	IP+Olein PO	3,245
	TOTAL	23,768
REF-01	MB+PO	6,962
REF-01	MB+PKO	1,230
REF-01	MB+PKO RBD	364
	TOTAL	1,594
REF-01	IP+Stearin PKO	815
REF-02	SG+ PO	2,743.2
REF-02	SG+PKO	685.8
TRD-01	IP+PO	14,349
TRD-01	IP+ PO Stearin	860
TRD-01	IP+ PO Olein	1,650
TRD-01	IP+ PO RBD	11,051
	TOTAL	27,910
TRD-01	IP+ Stearin PKO	851
TRD-01	MB+ Stearin PKO	21.5
KCP-01	IP+CPKO	1,588
KCP-01	PKE+IP	2,306

*KCP-01; PK the project does not purchase is transferred from its RSPO certified mills. Amount transferred IP PK 3749 MT.

**Initial Inventory KCP 01: 115 MT PKE

***Initial Inventory REF 01: 1185 MT CPO

**** REF01: 5777MT PO IP volume has been downgraded to MB; 1045 MT PKO of IP volume has been downgraded to MB.

*****2743,2 MT PO of IP volume has been downgraded to SG.

*****685,8 MT PKO of IP volume has been downgraded to SG

3.2 QUANTITATIVE INFORMATION INDEPENDENT MILLS

☒ N/A, i.e. no mill(s) under scope of certificate

3.2.1 Monthly Records of Certified FFB received, CSPO and PK produced

If this is an Annual Surveillance Assessment, the figures used are since the last audit.

* not applicable for the period prior to main assessment. However, for independent mill, the estimated tonnage of CPO and PK products represents the total certified volume of CPO and PK that the certified mill is allowed to deliver in a year. The actual tonnage produced shall then be recorded in each subsequent annual surveillance report.

No	MONTH-YEAR	Certified FFB (MT)	Certified CSPO (MT)	Certified CSPK (MT)
1				
2				
3				
4				
5				
6				
	TOTAL			

3.2.2 Records of CSPO and certified PK sold (under book and claim and RSPO IT platform PalmTrace system) to each buyer.

If this is an Annual Surveillance Assessment, the figures used are since the last audit.

* Not applicable for main assessment*

No	Buyers Name	Trading No	Certified CSPO (MT)	Certified PK (MT)
1				
2				
3				
4				
5				
6				
7				

3.2.3 Volume of PO and PK sold under other schemes and conventional

No	Last License Year	PO		PK	
		Sold under other schemes	Sold as conventional	Sold under other schemes	Sold as conventional
1	2019				

PART 4: BACKGROUND TO THE REPORT

4.1 Certification Body

Control Union (Certifications) is an international inspection and certification body. CU performs assessments and certification in many agricultural based fields such as FSC, RSPO, and Organic production, Sustainable Textile Production, Organic Exchange, GLOBALGAP, HACCP, BRC, GMP and GTP.

CU is accredited by the Dutch Council of Accreditation (RVA) on the European quality standard EN ISO/IEC 45011, 17021, 17020, 17065 for the inspection and certification. When requested a copy of the accreditation certificate can be obtained from CU.

4.2 Audit Team

Name	Roles
Oscar Javier Lugo Ramos	RSPO SCC Lead Auditor

4.3 The Certification Decision Management Team

Name	Qualifications
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Luis Alberto Siles Tapia	RSPO SCC Certifier
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4.4 Summary of previous certification assessments and conclusion, with recommendations or non-conformances

See Annex 2; Not applicable for main assessment reports

4.5 Audit Agenda

Date	Location	Main activities
26/04/2022	ICS: C.I. Tequendama S.A.S. Carrera 1 # 22-58 Piso 11, Edificio Bahía. Santa Marta	08.30 – 09.00 Opening meeting Chaired by the lead auditor <ul style="list-style-type: none"> • Introduction by lead auditor to audit agenda • Presentation by respective managers
		09.00 – 16.00 onwards Review of onsite operations. Document review and interviews as applicable to this audit <ul style="list-style-type: none"> • Document reviews • Worker interviews • Sales of goods • Purchase of goods • Document controls and records • Claims • Training • Outsourcing • RSPO IT Systems Review
		16.00 – 16.30 onwards Preparation for closing meeting Additional document or field visits and meetings with managers as necessary. Closing meeting Chaired by the lead auditor <ul style="list-style-type: none"> • Welcome and introduction by the lead auditor • Presentation of findings by the lead auditor, including non-compliances raised, if any • Questions and answers • Final summary by lead auditor
27/04/2022	REF-02: Caribbean Ecosoaps – Daabon. Ruta del Sol, Vía Alterna al Puerto, Km 1. Santa Marta	08.30 – 09.00 Opening meeting Chaired by the lead auditor <ul style="list-style-type: none"> • Introduction by lead auditor to audit agenda • Presentation by respective managers
		09.00 – 16.00 onwards Review of onsite operations. Document review and interviews as applicable to this audit <ul style="list-style-type: none"> • Document reviews • Worker interviews • Sales of goods • Purchase of goods • Document controls and records • Claims

		<ul style="list-style-type: none"> • Training • Outsourcing • RSPO IT Systems Review
		16.00 – 16.30 onwards Preparation for closing meeting Additional document or field visits and meetings with managers as necessary. Closing meeting Chaired by the lead auditor <ul style="list-style-type: none"> • Welcome and introduction by the lead auditor • Presentation of findings by the lead auditor, including non-compliances raised, if any • Questions and answers • Final summary by lead auditor
28/04/2022	STO-01: Terminal de Graneles Líquidos del Caribe S.A. Carrera 1 Antigua vía al Boquerón – Margen Derecho del Puerto, Santa Marta	08.30 – 09.00 Opening meeting Chaired by the lead auditor <ul style="list-style-type: none"> • Introduction by lead auditor to audit agenda • Presentation by respective managers
		09.00 – 16.00 onwards Review of onsite operations. Document review and interviews as applicable to this audit <ul style="list-style-type: none"> • Document reviews • Worker interviews • Sales of goods • Purchase of goods • Document controls and records • Claims • Training • Outsourcing • RSPO IT Systems Review
		16.00 – 16.30 onwards Preparation for closing meeting Additional document or field visits and meetings with managers as necessary. Closing meeting Chaired by the lead auditor <ul style="list-style-type: none"> • Welcome and introduction by the lead auditor • Presentation of findings by the lead auditor, including non-compliances raised, if any • Questions and answers • Final summary by lead auditor
29/04/2022	TRD-01: Global Organic Inc. Carrera 1 # 22-58 Piso 11, Edificio Bahía. Santa Marta	08.30 – 09.00 Opening meeting Chaired by the lead auditor <ul style="list-style-type: none"> • Introduction by lead auditor to audit agenda • Presentation by respective managers
		09.00 – 16.00 onwards Review of onsite operations.

		<p>Document review and interviews as applicable to this audit</p> <ul style="list-style-type: none"> • Document reviews • Worker interviews • Sales of goods • Purchase of goods • Document controls and records • Claims • Training • Outsourcing • RSPO IT Systems Review
		<p>16.00 – 16.30 onwards</p> <p>Preparation for closing meeting</p> <p>Additional document or field visits and meetings with managers as necessary.</p> <p>Closing meeting</p> <p>Chaired by the lead auditor</p> <ul style="list-style-type: none"> • Welcome and introduction by the lead auditor • Presentation of findings by the lead auditor, including non-compliances raised, if any • Questions and answers • Final summary by lead auditor <p>End of assessment</p>

4.6 RSPO Supply Chain Requirements

The facility or facilities mentioned in the scope of the audit carried out according to RSPO Supply Chain Certification Systems, February 2020 and was audited against the requirements of the RSPO Supply Chain Certification Standard, February 2020.

PART 5: CLOSING MEETING

The purpose of the closing meeting is to allow the audit team to present their findings to the client, to agree dates for the completion of any corrective action required, and to establish any subsequent follow up action. The meeting is chaired by the audit team leader and wherever possible involve the same people who were present at the opening meeting.

Company name:	C.I. Tequendama S.A.S. – Grupo Daabon
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Date of closing meeting:	April 29 th , 2022
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Names and of attendees

Name:	Affiliation:
Jonathan Lindo Castellanos	Head of Traceability

5.1 Certified Status at this Time

It is very important for the client to understand that until they receive written confirmation of their RSPO Supply Chain certification registration from the CU certifier and its expiry date that they are not certified and cannot make any claims concerning certification.

5.2 Actions that a need to be completed prior before certification can proceed

- a) If any non-compliance was noted, then a certification decision is not possible until the client has taken steps to close out the non-compliances noted in the certification checklist. See also 5.7 and 6.0 below.
- b) If full compliance was noted during the audit, the next step is for the checklist and public summary plus any associated documentation, to be sent to the CU RSPO SCC Certifier, by the lead auditor. The certifier will review the documents and may refer back to either the lead auditor or to the client for further information or clarification.
- c) Once the certifier is satisfied, a positive certification will be made, and a certificate is awarded and sent to the client. The RSPO is also notified within 14 days of the issuing of the certificate. The certificate is only valid if the licence is active in RSPO's IT platform (PalmTrace).

5.3 Audit findings

The findings of the RSPO checklist and the certification report were presented to the client.

5.4 Details of any further information supplied by the client at this stage

No further information was given.

5.5 Confidentiality

CU auditors will not discuss or reveal any of the confidential information seen during the audit to any third party. Any public summary of the main assessment will be approved by the client prior to publication.

5.6 Annual audit

The certificate is valid for 5 years and the certificate holder needs to be audited annually. Annual audits are conducted within 12 months of the "license" expiration date and not earlier than 8 months after the expiration date.

5.7 Non-Compliance

The client is made aware that:

- All non-compliance observed during an audit shall be classified as 'major' since all requirements for Supply Chain certification have to be met before granting certification.
- Applicable to main assessment audits, all non-compliances shall be addressed satisfactorily by the client before certification may be granted. If non-compliances are not addressed within three (3) months of the audit, a full re-audit shall be required.
- CU shall assess the effectiveness of the corrective and/or preventive actions taken before closing out the non-compliance.
- Non-compliances that are raised after the main certification are serious and the integrity of the RSPO Supply Chain Certification is at risk.
- For subsequent annual assessment audits, a maximum of one month is to be given to the certified client to satisfactorily address the non-compliance. Should the non-compliance not be addressed within the one month maximum timeframe, a suspension or withdrawal and subsequently terminated if the non-conformance is not addressed within an agreed timeframe with the CB, not longer than three months from the last day of the audit. A full re-audit shall then be necessary.
- Where objective evidence indicates that there has been a demonstrable breakdown in the supply chain caused by the certified client's actions or inactions, and that oil palm products have been or are about to be shipped which are falsely identified as RSPO certified product then immediate action will be taken by CU, and the RSPO Supply Chain certification should be suspended until such time that it has been addressed.
- If certification is suspended or removed, for example on the basis of lack of effective corrective actions, the client shall inform their supply chain customers immediately. If certification is granted subsequently then this can be communicated to the customer group.

PART 6: CONCLUSIONS OF THE AUDIT & CERTIFICATE DETAILS

6.1 Final certification decision of Control Union

Summary of non-compliances:

NC Number:	No NC were raised
Date:	
CUC Code (ICS,REF,PRU,FPU,OUT,TRD)	
Reference to standard:	
Standard requirement:	
Evidence of non-compliance:	
Root Cause Analysis:	
Correction and Corrective Action:	
Evidence of Compliance:	
Date of closure:	

Certification status of client:
(only for Certifiers)

Please select the applicable box, do not remove the others:

- ☒ Main / Re- Evaluation: All facilities included in the scope of this audit demonstrated compliance with the RSPO SCC
- ☐ Surveillance audit: All facilities included in the scope of this audit demonstrated compliance with the RSPO SCC. The certified status is maintained.

6.2 Certificate Details and Scope

Client number:	CU-817186-RSPO		
Certificate number:	CU-RSPO SCC-817186		
Start date of certificate:	18-04-2017		
End date of certificate:	17-04-2022		
Date of first certification:	18-04-2011		
Type of certification:	Single site: Yes <input type="checkbox"/>	Multi Site: Yes <input checked="" type="checkbox"/>	Group: Yes <input type="checkbox"/>
Duration of certificate:	5 Years from date of certification		

PART 7: FORMAL SIGN OFF OF AUDIT FINDINGS

7.1 Signing by the Client

I the undersigned, being the most senior relevant management representative of the operation seeking or holding certification, agree with the contents and audit findings as presented in document (RSPO SCC Report and Checklist).

I also confirm:

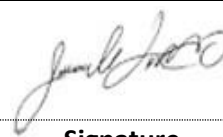
- Acceptance of liability in execution of the instructions given in the document and at the closing meeting.
- That this company was made aware that the findings of the audit team are tentative; pending review and decision making by the duly designated representatives of Control Union Certifications.
- That this company was informed that until they receive written confirmation of their RSPO Supply Chain certification registration and its expiry date that they are not certified and cannot make any claims concerning certification.
- That the formal record of the closing meeting is accurate and that all agenda items were covered by the lead auditor.
- I understand the implications of non-compliance as laid out above.

Acknowledged by:

Name: Jonathan Lindo Castellanos

Position: Head of Traceability

Date: 29/04/2022



Signature

7.2 Signing by the Lead Auditor

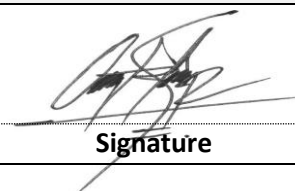
I the undersigned, being the Lead Auditor, confirm that this report is an accurate record of the findings and of the closing meeting. I further confirm that the summary of the findings as presented in this report are a true representation of the actual findings of the audit team.

Acknowledged by:

Name: Oscar Javier Lugo Ramos

Position: Senior Auditor

Date: 29/04/2022



Signature

PART 7: FORMAL SIGN OFF OF AUDIT FINDINGS

7.1 Signing by the Client

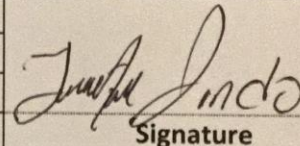
I the undersigned, being the most senior relevant management representative of the operation seeking or holding certification, agree with the contents and audit findings as presented in document (RSPO SCC Report and Checklist).

I also confirm:

- Acceptance of liability in execution of the instructions given in the document and at the closing meeting.
- That this company was made aware that the findings of the audit team are tentative; pending review and decision making by the duly designated representatives of Control Union Certifications.
- That this company was informed that until they receive written confirmation of their RSPO Supply Chain certification registration and its expiry date that they are not certified and cannot make any claims concerning certification.
- That the formal record of the closing meeting is accurate and that all agenda items were covered by the lead auditor.
- I understand the implications of non-compliance as laid out above.

Acknowledged by:

Name:	Jonathan Lindo
Position:	Trazabilidad
Date:	29/04/2022

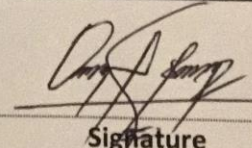

Signature

7.2 Signing by the Lead Auditor

I the undersigned, being the Lead Auditor, confirm that this report is an accurate record of the findings and of the closing meeting. I further confirm that the summary of the findings as presented in this report are a true representation of the actual findings of the audit team.

Acknowledged by:

Name:	Oscar Javier Lugo Ramos
Position:	Senior Auditor
Date:	29/04/2022


Signature

ANNEX 1: Evaluation of a facility with outsourcing activity

Companies which outsource work on a flexible basis to any of a number of potential contractors (independent third parties) may apply for inclusion of the outsourcing process within the scope of their certificate.

In this case CU evaluates during and before the audit. Application form and if needed after the audit the system) by a risk analysis whether the outsourcing activity of each facility carries a high risk or not. This evaluation shall be carried out for each facility or site that is carrying out outsourcing activities.

Outsourced contractors shall be considered high risk if physically transforming products or if there is a risk of uncontrolled, non-deliberate or accidental cross-contamination resulting in mixing certified with non-certified products. **When the outsourced contractor holds RSPO certification then an additional audit is not required.**

☒ If N/A, no Outsourcing

Activity	High risk Yes/No
The company is outsourcing a wide range of production related activities	Yes/No
The company is outsourcing to a contractor or a number of contractors on a regular basis;	Yes/No
The contractors (outsourced companies) grade and/or sort the material in the chain during outsourced processing;	Yes/No
Outsourced company does not physically return the certified product following the outsourced activity;	Yes/No
Contractors are physically transforming products	Yes/No
The company is outsourcing processing across national borders	Yes/No
Total score*	
Sample**	

Matrix of evaluation

Type of Risk	High	Medium	Low
Yes	≤ 4 yes	2-3 yes	1 yes

- For outsourcing activity, a on-site assessment of a sample of contractors included in the scope of the company's certificate must be carried out. Please note: The final decision will always come from the Certifier.
- Remote audit for High risks sites is possible if the sample is more than six. High risks sites that are below 6, on-site assessment is required to be carried out.

High Risk	Medium Risk	Low Risk
<p>The sampling number shall be the square root of the number of contractors (outsourced high-risk companies) (x) with:</p> <ul style="list-style-type: none"> Initial assessment: 1.0 as a coefficient ($y=\sqrt{x}$), rounded to the upper whole number. Surveillance (first surveillance): 0.8 as a coefficient ($y=\sqrt{x}$), rounded to the upper whole number. Second to fourth surveillance: 0.6 as a coefficient ($y=\sqrt{x}$), rounded to the upper whole number. Re-certification: 0,8 as a coefficient ($y=\sqrt{x}$), rounded to the upper whole number. 	<ul style="list-style-type: none"> Initial assessment: 1.0 as a coefficient ($y=\sqrt{x}$), rounded to the upper whole number. Surveillance: 0.6 as a coefficient ($y=\sqrt{x}$), rounded to the upper whole number. Re-certification: 0,8 as a coefficient ($y=\sqrt{x}$), rounded to the upper whole number. 	<p>It is compulsory to visit the site once within the 5-year cycle. The certifier still decides when an extra visit to the site is required.</p> <p>During audit of contractors the records of material inputs, outputs and shipping documentation associated with certified material shall be evaluated to ensure that the policies and procedures are sufficient and adequately implemented to meet the intent and requirements of the RSPO Supply Chain Certification Systems.</p>

ANNEX 2: Summary of previous certification assessments and conclusion, with recommendations or non-conformances

Not applicable for man assessment reports.

Re-assessment Report

The Daabon Group is a family business with eight (8) facilities: C.I. Tequendama S.A.S – Daabon, Daabon Group – Tequendama, Refinería Tequendama, Caribbean EcoSoaps, Terminal de Graneles Líquidos del Caribe, Global Organic Inc., C.I. La Samaria S.A.S. and Derivados y Fracciones de la Palma S.A.S. The units are in Santa Marta, department of Magdalena in Colombia.

These facilities receive CPO and PK from suppliers such as Extractora Tequendama and Palma y Trabajo POM, which are also owned by the Daabon Group and are currently certified under the RSPO IP supply chain model. They also receive from their supplier Palmeras de La Costa and Sicarare S.A. POM certified under the RSPO IP supply chain model.

The models used in the facilities are IP, SG and MB to produce CPO RBD and PKO through refining to the RSPO certified fractions (olein and stearin) under the models (IP, SG, MB). If necessary, the project performs the degradation of the IP product to the SG and MB model to sell under these models when its customers require it.

The facility manages all production documents in the SAP system. The traceability system can be traced along the entire chain.

For this evaluation (RC2), the following sites were audited: ICS: C.I. Tequendama S.A.S – Daabon; REF-02: Caribbean EcoSoaps; STO-01: Terminal de Graneles Líquidos del Caribe and TRD-01: Global Organic Inc.

Summary of non-compliances

No NC were raised

ASA 1 Report

<Copy information of Section 2.1 of ASA 1 Report>

Summary of non-compliances

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ASA 2 Report

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Summary of non-compliances

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ASA 3 Report

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Summary of non-compliances

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ASA 4 Report

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Summary of non-compliances

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